

Mexico City August 28, 2020.

## **NEWS RELEASE**

### **New regulation "The Shareholder Rights Directive II (SRDII)"**

**Dear Participants,**

As you are aware, on Thursday, September 3, 2020, the new regulation "The Shareholder Rights Directive II (SRDII)" will come into force in all member countries of the European Economic Area EEA. Indeval has been in constant communication with foreign custodians testing and reviewing the changes involved.

The SRD II regulation aims to encourage long-term shareholder participation and improve transparency between issuers and investors. It has as its primary focus the identification of holders in certain corporate events.

SRD II requirements will apply to securities under the following scenarios:

1. The securities are issued by companies that have their tax address in an EEA member country.
2. The securities are admitted to trading on a recognized and regulated market within an EEA member country.

The SDR II will be notified through a foreign custodian's corporate event; therefore, Indeval will announce it on the Dali portal in the "Corporate Events" section. These events applicable to the SRDII will be published with the event type "SRDII Assembly" and "SRDII Information Disclosure."

It is essential to mention that these types of events are mandatory. When the issuer requests this information, it will be the beneficiary's responsibility to comply with this regulation through the means specified in the corporate event.

Among other details, the corporate event will specify the deadline the holder will have to send such information to the issuer and some necessary codes that the instruction must contain, the format, and the form of sending.

We thank you in advance for your attention to this notice.

For any clarification or doubt, please contact Edgar Espinoza at 5342-9282.

**KINDLY,**  
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**DEPUTY DIRECTOR OF INTERNATIONAL BUSINESS**

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